

Compliance and Certifications

Product Info



DocuWare meets the requirements of important standards

Product and company have been tested and certified by independent institutions: the objective tests focused on the development process, data and system security, and on storage guidelines. Certifications from software developers and hardware manufacturers vouch for DocuWare's seamless integration capability with other applications.

Certifications



DocuWare 6 meets all requirements concerning the tamper-proof long-term archiving and safekeeping of business data under the regulations of the German Commercial Code (Handelsgesetzbuch, HGB) and the German tax code (Abgabenordnung, AO). The software also complies with the principles of correct computer-assisted accounting systems, as well as the principles surrounding the access to and audit ability of digital data (GoBD/IDW PS 880). A summary of these test results is included in the certification report by PSP Peters Schönberger GmbH Wirtschafts-/Steuerprüfungsgesellschaft.



DocuWare's quality management system meets the EN ISO 9001 international standard. The German Quality Management Academy has certified that DocuWare uses the necessary methods and procedures to avoid errors and provide the best possible product quality. DocuWare was awarded the certificate in the category of Production of *Standard Software for Document Management*.



The DocuWare GmbH information security management system meets all requirements of international standard ISO/IEC 27001, as confirmed by the certification to the DMS manufacturer by the German Quality Management Academy (DQM). To ensure the highest possible product quality, suitable security mechanisms must be implemented in the company. The international standard ISO/IEC 27001 specifies the requirements for production, introduction, operation, monitoring, maintenance, and improvement of an information security management system taking into account the organization's IT risks.



DocuWare is a long-standing Microsoft Gold Partner. For this commitment, the partner model has high standards that need to be met regularly which include: proof of highly technical expertise, a variety of successful customer references, and different technical tests proving the compatibility of DocuWare software with the current respective Microsoft platforms.



DocuWare CONNECT to SAP has undergone the standard SAP test and been certified by SAP AG. The Microsoft .NET-based interface is augmented by SAP NetWeaver solutions with features for archiving documents and data via the SAP ArchiveLink interface. The functionalities of three components were certified: SAP HTTP Server, Barcode BAPI and OLE Frontend.



EMC Centera storage systems integrate seamlessly in DocuWare. The certificate confirms that even large volumes of electronic data can be stored in Centera storage systems direct from DocuWare in a secure, tamper-proof format for long-term digital archiving.



Network Appliance has certified the integration of DocuWare with its NetApp SnapLock storage technology. DocuWare can be used with NetApp SnapLock to store business-critical data in a tamper-proof, long-term format. The solution combines the advantages of the Document Management System with the high access speed of a hard disk, backed up by the security of a WORM medium.



DocuWare meets all requirements of the international standard ISO 15489-1:2016 Information and Documentation – Records Management – Part 1: Concepts and Principles, as stated in the audit report No. PVIT 003RE-2017. Slovenian Institute of Quality and Metrology (SIQ) audited DocuWare and issued a certification of compliance. The main goal of the audit was to check if ISO 15489-1:2016 concepts and principles have been implemented to ensure that any users of the DocuWare document management system are not exposed to unnecessary risks.



DocuWare 6 fulfills all of the requirements set forth by Spain's Tax Authority for archiving paper-based documents. This includes Regulation EHA/932/2007 Article 7 and the resolution from October 24, 2007.

Special Certifications for DocuWare Cloud's Hosting Platforms



The hosting provider performs audits in accordance with the Statement on Standards for Attestation Engagements (SSAE) No. 16 put forth by the Auditing Standards Board (ASB) of the American Institute of Certified Public Accountants (AICPA) and International Standard on Assurance Engagements (ISAE) 3402 put forth by the International Auditing and Assurance Standards Board (IAASB). In addition, the SOC 2 Type 2 audit included an examination of the Cloud Controls Matrix (CCM) from the Cloud Security Alliance (CSA).



The Cloud Control Matrix (CCM) is one of the best tools in the industry if you are looking to assess the overall security risk of a cloud provider. The CCM is designed to provide fundamental security principles to guide cloud vendors. The hosting provider of DocuWare Cloud provides detailed information about how the hosting fulfills the security, privacy, compliance, and risk management requirements defined in the CCM version 1.2. This report is filed in the CSA's Security Trust and Assurance Registry (STAR).

Other Compliances



The Health Insurance Portability and Accountability Act (HIPAA) is a US healthcare law. It establishes requirements for the use, disclosure, and safeguarding of individually identifiable health information.



After running DocuWare 6 through comprehensive testing, Buyers Laboratory (BLI) has awarded the document management system with its highest rating for the third time in a row. The DMS has once again met the experts' high standards for independent analysis in the industry of specialized office products. DocuWare Cloud, the Software as a Service (SaaS) DocuWare variant, has also received the maximum of 5 stars from Buyers Laboratory Inc.



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